

# Review on Cleaning Validation

Salgar J. B., Bais. S. K., Sultana Amir Sayyad

Fabtech College of Pharmacy, Sangola, Solapur, Maharashtra, India

**Abstract:** Each pharmaceutical industry's objective is to reliably and affordably produce goods with the necessary qualities and attributes. Method development is crucial for drug discovery, development, and evaluation in pharmaceutical formulations drug discovery, development, and evaluation in pharmaceutical formulations, method development is crucial. This review article's main goal was to examine how pharmaceutical manufacturing procedures are developed and validated from the beginning of formulation to the final commercial batch of product. The results must be trustworthy when analytical procedures are used to get high-quality results for pharmaceutical samples. A verification policy in the pharmaceutical business specifies how verification is carried out, and both the type of verification and the verification policy adhere to Good Manufacturing Practice (GMP) laws. The efficient running of pharmaceutical enterprises depends on validation. From raw ingredients to finished goods, stability and validation are performed at every stage. Accuracy, specificity, precision, limit of detection (LOD), limit of quantitation (LOQ), robustness, robustness, and system were the validation parameters for the method. In terms of conformity testing, it is explained. Both routine and stability assessments make use of all validation parameters.[1].

**Keywords:** Validation, Method development, Limit of quantization, Limit of detection, Linearity, Analytical

## I. INTRODUCTION

Cleaning validation is a process that is documented and displays how accurately and consistently cleaning is done in a facility that makes pharmaceuticals. Validating the cleaning procedure is primarily done to confirm that it complies with federal and other standard regulations.

The purpose of this validation process is to find serious issues and rectify them before they adversely affect the safety, effectiveness, or quality of succeeding batches of pharmaceuticals produced on the apparatus. Pharmaceuticals can be contaminated by a variety of things, including excipient residues from active pharmaceutical ingredients (APIs) and precursors, detergent residues, air pollutants such as dusts and particles, and lubricants. To prevent contamination and cross-contamination, efficient cleaning procedures are necessary.[1]

### When Cleaning Validation is Required

1. When evaluating the first certification of cleaning techniques and apparatus.
2. If the cleaning procedure is significantly altered.
3. If the master recipe is drastically altered.
4. If the cleaning agent is modified.

### Cleaning Validation Protocol

The cleaning protocol needs to include information on cleaning validation. A validation methodology outlines all essential procedures, tools, personnel, and settings that could interfere with efficient cleaning. Consequently, it is necessary to develop a master verification strategy to direct the cleaning verification process step by step. When developing a cleaning validation protocol, several factors should be taken into account. removing the equipment.

1. The suggested pre-cleaning technique
2. A thorough explanation of the cleaning agent, including the volume and concentration needed.
3. It is necessary to provide the flow rate, pressure, rinse time, and rinsing frequency.
4. Equipment complexity and design
5. Personnel training timetable,

### **Cleaning Procedure**

There should be ready standard cleaning procedures for each and every item of equipment and procedure. The design of the equipment, the product residues that need to be cleaned, the cleaning chemicals that are available, and the cleaning methods should all be taken into consideration when choosing the optimum cleaning method for the equipment. Cleaning methods should be properly and accurately documented in order to reduce the possibility of any consistency problems during the cleaning process. The following elements are taken into account when cleaning techniques.

#### **A. Equipment parameters to be evaluated**

1. Identifying the cleaning-related equipment
2. "Hard to clean places"
3. Material characteristics
4. Fast maintenance
5. Action

#### **B. Residues to be cleaned**

1. Limits on cleaning
2. The residues' ability to dissolve
3. Campaign duration

#### **C. Cleaning agent parameter to be evaluated**

As a general rule, using detergents sparingly is advised until necessary.

Detergents are available. Preferable components that are typically employed in the process.

Environmental factors

Safety and health concerns.

#### **D. Cleaning techniques to be evaluated**

1. Cleaning by hand
2. CIP (Clean-in-place)
3. COP (Clean-out-of-place)
4. Automated techniques
5. Automated processes
6. Time-related factors
7. Amount of washing cycles

### **Cleaning agent selection**

**1. Water:** It serves as a general cleaner. If using water alone will remove the residues from the product effectively and without requiring a lot of time or physical effort, it should be employed. However, for a lot of people, just the water requires an arbitrary increase in cleaning time. As a result, it's important to evaluate alternative methods. water is an universal solvent . [2]

**2. Solvent:** These are used in procedures where the production process already requires the use of solvents. For example, mother liquors are employed as API cleaning solvents. Utilizing mother liquor for cleaning poses no risk because it is already confirmed to remove the main residue.

**3. Commodity Chemicals:** In this case, though, cleaning chemicals like NaOH might be utilised. Similar to their solvent equivalents, these materials may be hazardous and effluent-related. They do, however, frequently show promise in inactivation procedures because of their typically high basicity or low acidity. These chemicals, on the other hand, lack the detergency of a designed cleaning agent and can be challenging to rinse, requiring more water to flush them out of systems than a formulated cleaning agent would.

### **Formulated Cleaning Agent**

the most popular category of cleaners. This group includes formulations that are both aqueous and solvent-based. Commonly prepared cleaning products contain a solvent or water, one or more alkalinity or acidity sources, sequestrants, surfactant builders, chelants, and other components. Contrary to consumer-use solutions, these materials are made to have low foaming for industrial purposes, which makes them easier to rinse and ideal for cleaning with high pressure or high turbulence.

### **Sampling Procedure:**

A cleaning to evaluate the cleaning method it is necessary to sample the product contact surface of the equipment and establish the level of residual present.

Types of cleaning method:

1. Swab sample
2. Rinse sample
3. Coupon Sample
4. Solvent Sample
5. Placebo and product sampling

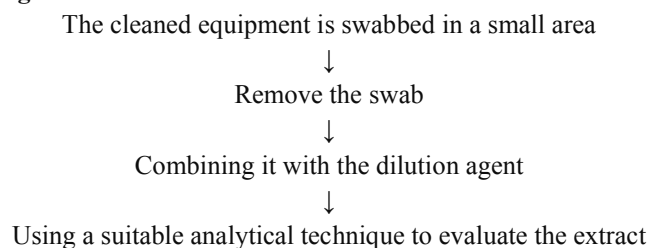
### **A. Swabs Sampling**

Surfaces that come into contact with products could be swabbed after equipment cleaning to determine how clean they are. Swabs should not conflict with the assays or results and should be suitable for the active components. They shouldn't result in or cause any compound degradation. The solvent(s) utilised for swabbing should provide the compound with high solubility and prevent degradation. (Swab sampling in Fig. 2) [3]

### **Advantages**

1. Solubilize the sample before physically removing it.
2. Suitability for different surfaces.
3. Economical and well-liked.
4. Can permit sampling of specific locations.

### **Procedure for Swab Sampling**



### **B. Rinse Sampling**

A widely used technique to assess cleanliness is sampling rinse samples and evaluating them for the presence of any remaining active component. In many situations, this is a form of convenient procedure that calls for precise control over the solvent used for rinsing, the duration of the contact, and the mixing activities. The solvent utilised should either simulate a subsequent batch of product or at least give enough solubility, depending on the solubility of the active ingredient.

### **Advantages**

1. Easy sampling
2. A thorough assessment of the product contact surface.
3. Convenience of all dishwashing liquid equipment components.

4. Perfect for huge, enclosed, or difficult to frequently or simply disassemble equipment.

#### **Rinse sampling drawbacks:**

1. Residues should not distributed
2. Unable to find any leftovers.
3. Wash volume affects how results should be interpreted.
4. An immobile residue.

#### **Analytical Methods for Cleaning Validation**

Various analytical methods can be used to measure target residues. Techniques for analysing chemical residues are described in this article. The choice of analytical method for residue determination is significantly influenced by the chemical makeup of the relevant residues and the analytical limits specified for these residues. The stability of the target residue in cleaning conditions, its level of polarity, whether it is organic or inorganic, and whether it is soluble in water or other solvents are all determined by its chemical structure. If the method has been verified to quantify XYZ at a reasonable level, a high-performance liquid chromatography (HPLC) method is a good option as the analytical tool for purifying validation studies.

## **II. DETECTION LIMITS**

Companies must "establish the specificity and sensitivity of the analytical method used" in accordance with the Food and Drug Administration's (FDA) cleaning validation requirements [3]. Sensitivity was once a useful term for an analytical approach (referring to the slope of a standard curve). However, the terms "limit of detection" (MD) and "limit of quantification" are frequently used informally (LOQ). The FDA brings up his LOD/LOQ: His LOD/LOQ for an analytical method needs to be lower than or equivalent to the sample's acceptance standards. The approach is worthless for cleaning validation if the desired analytical sample limit is 5.2 ppm and the method can only detect down to 10 ppm.

#### **Specificity**

In terms of method specificity, some methods obviously come first. The best method to measure a target residue is to utilise an analytical procedure that measures just that species and leaves out any others that might interfere. A specific technique is one that is intended to focus on a particular molecule or species and remove any chance of interference. HPLC, ion chromatography (K), SDS-PGE (sodium dodecyl sulphate polyacrylamide gel electrophoresis), and atomic absorption spectroscopy are examples of specific techniques (AA). In such procedures, for instance, the target residues could be properly separated from other interfering species by selecting the HPLC column parameters. To separate the target species to be assessed using such methods, chromatographic separation may be necessary.

#### **Nonspecific Methods**

Methods that measure overall characteristics that are the product of contributions from various chemical species are most frequently used. Two examples of general approaches are conductivity and total organic carbon (TOC). Each provides an approximation of the broad qualities, but neither provides specifics on the conductive power or the chemistry of organic carbon. We have to guess what the non-specific features reflect when targeting residues with a non-specific strategy. This typically entails expressing features as though the target species alone were responsible for all the qualities observed. [5]

#### **Method Validation**

Analytical techniques employed in cleaning validation protocols must be self-validating in order to measure residues. Typically, this validation entails adhering to accepted industry standards for analytical technique validation, which include evaluating specificity, linearity, range, accuracy, and LOD/LOQ[5]

#### **Specificity**

The validity of results based on expected interference is measured by specificity. This means that it must be confirmed that the approach can accurately measure the target species even in the face of potential interference. In general,

particular methods are thought to include ones like HPLC. However, they are only accurate when analyzed to see if any interference changes the assay's character. This means that for washing operations, all HPLC techniques should be assessed to see if detergent residues might interfere with the experiment. Changes in peak height, peak form, or retention duration can all be considered interference.[5]

### **Range**

A range is a collection of data for a species or attribute that was measured and over which an analytical procedure was assessed. It is sufficient to confirm that the approach is valid within the anticipated range. For instance, if the computed tolerance limit for an analytical sample is X ppm, it could be wise to consider a range of roughly 0.2X to 10X. On the other hand, validation in the range 0.05X to 0.5X may be necessary if the anticipated outcome (perhaps based on prequalification studies) is in the range 0.1X to 0.3X. But in this case, it makes sense practically to evaluate the range to a tolerance level of 1.0X to account for the potential for collecting data points in the range of 0.5X to 1..[5]

### **LOD/LOQ**

LOD refers to the test value below which a material is still considered to be present but may not be measurable. LOD is typically estimated using a variety of methods. For chromatographic methods, the LOD is predicted to be three times the baseline response's standard deviation, for instance. Values below the LOD are often given as the lowest assay value (LOQ), which has a reasonable degree of accuracy. There is a general method for determining LOQ as well. For chromatographic techniques, the LOQ can be calculated as 10 times the baseline noise's standard deviation. Experimental methods can be used to determine LOQ.

### **Linearity**

The characteristic of the relationship between the measured property and the amount of analyte present is known as linearity. According to linearity, the observed signal is linearly proportional to the analyte's concentration within the range. Assays are anticipated to be linear over the range for cleaning validation investigations as a general rule. It is possible to determine linearity by employing methods like figuring out (0.99 or greater). [5]

### **Accuracy**

The accuracy of a measurement in relation to a known value is referred to as accuracy. Analysis of accepted standards is used to ascertain this. No "magic number" exists that guarantees adequate precision. However, more precise techniques are preferred than less precise ones. If the acceptance requirement is 20 ppm, for instance, a procedure that yields 18 ppm results with a 2–10% accuracy can be deemed to produce acceptable results. On the other hand, the acceptability of a process with a 2–20 percent accuracy and an output of 18 ppm is in dispute. [5]

### **Precision**

The reproducibility of a process is referred to as precision, which is typically measured by standard deviation. The repeatability of results from a set of replicate experiments carried out in the same laboratory, by the same operator, with the same equipment, typically on the same day, is known as simple precision. The phrase "intermediate accuracy" refers to the consistency of results from different operators, different instruments, and typically different days in the same lab. Reproducibility between laboratories is also referred to as robustness or inter-laboratory reproducibility. Your situation will determine the level of accuracy you require. Robustness must be evaluated if a method is created in a centralised laboratory and deployed to numerous remote sites with analytical support for validation. for new, small businesses. If the assay is just used for validation, a less thorough review is necessary.

### **Keys to Method Validation**

It should be emphasised that when discussing specifics and correctness, preferences were frequently stated. They shouldn't be regarded as impervious to error. A compromise between a number of requirements is necessary when selecting the appropriate analytical approach for your validation procedure. Any analytical technique has dangers and limitations, therefore it's crucial to be aware of such risks and take steps to reduce them. A thorough cleaning procedure

is one way to reduce the risks related to analytical methods and residual concentrations. Furthermore, regardless of sample approach, it is important to keep in mind that specificity, range, linearity, LOD/LOQ, precision, and accuracy assessments are frequently made in the analytical method itself first. Sampling approaches can affect analytical techniques. [6]

### III. TARGET ANALYTES

It should be emphasised that when discussing specifics and correctness, preferences were frequently stated. They shouldn't be regarded as impervious to error. A compromise between a number of requirements is necessary when selecting the appropriate analytical approach for your validation procedure. Any analytical technique has dangers and limitations, therefore it's crucial to be aware of such risks and take steps to reduce them. A thorough cleaning procedure is one way to reduce the risks related to analytical methods and residual concentrations. Furthermore, regardless of sample approach, it is important to keep in mind that specificity, range, linearity, LOD/LOQ, precision, and accuracy assessments are frequently made in the analytical method itself first. Sampling approaches can affect analytical techniques. [6] This only makes sense if tolerance upper and lower bounds are defined separately for every component. The standard procedure is to focus on one of the detergent formulation's components or qualities [21]. For instance, in the case above, the potassium present can be examined, and the total detergent formulation, if any, can be computed from this potassium value. A measured quantity of 0.6 g potassium is comparable to 1.3 kg of detergent powder if the detergent solids contain 45% potassium. Such estimates presuppose that the different cleaning formulation's ingredients are taken away from the cleaned equipment at about equal rates. [6]

#### Typical Analytical Procedures

**High Performance Liquid Chromatography (HPLC)** involves injecting a sample into a chromatographic column, separating the species of interest from other sample components, and analysing the species of interest as they exit the column using ultraviolet (UV) spectroscopy, conductivity, or ELSD (Evaporative Light Scattering Detection). Generally speaking, HPLC can be tailored to the target species. In general, pharmaceutical facilities have equipment. [7]

#### Organic Carbon

**TOC** requires oxidising the sample (using a variety of methods) and measuring carbon dioxide by conductivity or infrared spectroscopy. The widespread consensus is that this approach is non-specific. The maximum content of the target species is determined based on the TOC, which often includes the assumption that all measured carbon is attributable to the target species. Due to the potential for active substances to be degraded by the cleaning environment, TOC is becoming a more popular substitute for USP pure water oxidant testing. For the latter aim, TOC is frequently applied to cleaning validation in the biotechnology sector. [7]

#### Atomic Absorption

For metal ions, atomic absorption is a unique technique. It can be used, for instance, to gauge the amount of salt and/or potassium that might be present in cleaning chemicals. In laboratories for pharmaceutical analysis, this may not be a typical tool. [7]

#### Ion Chromatography

Specific procedures for anions and cations in detergents are included in ion chromatography. Anions can be separated and measured using a variety of methods, including: B. Builders, phosphate, citrate, and glycolate anions from acid detergents, as well as sodium and potassium cations (carbonate, gluconate, silicate, EDTA [ethylenediaminetetraacetic acid]). Although it is frequently used, it is not always a standard instrument in laboratories that analyse drugs. [7]

#### Ultraviolet Spectroscopy

UV spectroscopy is a suitable instrument for several chromophores-containing surfactants. In many pharmaceutical analysis labs, equipment is easily accessible. [7]

### Enzyme-Linked Immunosorbent Assay (ELISA)

ELISA is commonly used to analyze proteins for drug determination. However, proteins are typically degraded by the harsh conditions (temperature and pH) of the wash environment, making ELISA of limited utility for wash validation studies. [7]

### Titration

Titration ranges from general titration techniques for measuring surfactant components to more specialised techniques for measuring alkalinity or acidity titrations, which can be used to get an upper bound estimate of the surfactants present. B. Different detergents have different chelating agent titrations. For these operations, laboratory equipment is typically easily accessible.

### Conductance

An ion's conductivity in a solution affects how non-specific it is. It can be used to calculate the maximum alkaline or acidic detergent concentration. The behaviour in diluted solutions is linear. You can get the equipment affordably if you don't already have one. Some businesses have tried to utilise pH to gauge how much acidic or alkaline cleaning solution residue is left behind. It needs to be discouraged generally. Sensing pH close to neutral in an unbuffered system is unreliable. The link between detergent concentration and pH is also nonlinear. When looking for a straightforward analytical method to quantify surfactants, conductivity or acid/alkalinity titration are better in certain circumstances..[8]

## IV. TYPES OF CLEANING METHODS

**Clean in Place Method:** In addition to fixed or rotating spray devices, a rinse tank, recirculation pump, and associated piping are also required.

**Clean out of Place Method:** For automated parts washing, a cabinet or tunnel washer with cleaning, rinsing, and drying cycles is typically utilised.

**Immersion Method:**

**Ultrasonic Washing:**

**High pressure Spraying:**

**Manual cutting:**

**Acceptance Criteria:** In order to accurately predict a study's conclusion, acceptance criteria for cleaning validation cutoffs must be precisely defined. The acceptance criteria for cleaning validation can typically be divided into three different test factors in order to more accurately determine whether a cleaning procedure is effective.

**Physical Criteria:** The absence of particles or residue should be confirmed by a visual inspection of the apparatus.

**Chemical Criteria:** Not detectable at concentrations greater than 10 ppm (parts per million) of one substance in another and/or 0.1% of the typical therapeutic dose of one substance per day. don't count toward the maximum dose. His product is another.

**Microbial Criteria:** Bacterial count  $\leq 20$  CFU (colony forming units), mold  $\leq 2$  CFU, contaminants in sample  $\leq 25$  CFU/25 cm<sup>2</sup>.



The majority of cleaning validation in the pharmaceutical sector involves specific terminology that manufacturing staff should be aware with. The twelve most used terms for pharmaceutical cleaning validation are shown below along with their definition

#### Introduction

Cleanrooms and clean areas need to be cleaned and sanitised frequently. Usually, a cleaning step is used after applying a disinfectant to accomplish this. It could be necessary to wash away disinfectant residues with water. Additionally, equipment needs to be cleaned and sanitised. Sanitation is also crucial for staff members when it comes to gloved hands. [9]

#### Cleaning Agents

Clean rooms require cleaning agents to remove 'dirt' (proteins, grease, etc.).

#### Detergents

In order to eliminate dirt, detergents must penetrate it and reduce the surface tension that keeps it to the surface. In order for the disinfectant to function properly, this is required. Additionally, disinfectants or washes can effectively eliminate microorganisms in suspension. [9]

It's crucial to pick an efficient detergent for this job. The detergent you choose must:

- Manages various water kinds, such as "hard water" and "soft water."
- Non-abrasive; compatible with disinfectants
- Non-foaming
- Be objective and effective against a variety of soils. Oil, grease, protein, rust, and skin

A gentle detergent is recommended in general. Cleaning chemicals must be sterile depending on the application area, for example, the B. Aseptic filling area.

#### Disinfections:

The inactivation of germs is disinfection. Bacteriostatic and bactericidal disinfectants both exist. Importantly, sterilisation and disinfection are not the same. The goal of disinfection is to consistently reduce germs. Sanitizers, often known as "disinfectants," are a broad category of chemicals that occur in many different forms. [9]

#### Types of Sanitizing agents:

##### **Non Oxidizing Disinfectants**

###### **Alcohols:**

As alcohols' molecular weight increases, they become more efficient against vegetative bacteria and fungi. It causes the cell membrane to become permeable, and the presence of water makes this action stronger. This results in cytoplasmic leakage, protein denaturation, and ultimately cell lysis. The advantages of alcohol are its low cost, lack of smell, and fast evaporation. [9]

###### **Aldehydes**

As alcohols' molecular weight increases, they become more efficient against vegetative bacteria and fungi. It causes the cell membrane to become permeable, and the presence of water makes this action stronger. This results in cytoplasmic leakage, protein denaturation, and ultimately cell lysis. The advantages of alcohol are its low cost, lack of smell, and fast evaporation.

###### **Amphoteric**

Amphoteric substances have a rather wide range of activity and both anionic and cationic characteristics, but they are constrained by their inability to harm endospores. Amphoteres are frequently employed as surface cleaners. The group of alkyldi(aminoethyl)glycine compounds is one example.. [9]

###### **Phenolics:**

Numerous synthetic phenols are utilised, including halophenols (chloroxylenols) and bisphenols (triclosan). Phenol possesses antifungal and antibacterial properties but has little impact on spores. Some phenols injure bacterial cells by interfering with the proton motive force, while others attack the cell wall, causing cellular components to leak out and proteins to become denaturated.



**Quaternary Ammonium compounds:**

QACs, which are cationic salts of organically substituted ammonium compounds, have a wide range of antimicrobial action. They are useless for dealing with bacterial spores. In the pharmaceutical sector, QAC is likely the non-oxidizing disinfectant that is used the most frequently. Cetrimide and benzalkonium chloride are two examples.[10]

**V. CONCLUSION**

This summary article comes to the conclusion that the documented method of cleaning validation establishes the efficacy and uniformity of cleaning pharmaceutical equipment. The regulatory requirement makes it important to have an efficient cleaning programme in place. A more important objective is to create items that are uncontaminated and as pure as possible. Additionally, cleaning validation's primary goal is to create a hard evidence with a high degree of assurance that it is possible to continuously clean a system or a piece of equipment to acceptable and defined limits. Additionally, this article focuses on all parts of cleaning validation, including a cross-contamination mechanism, various cleaning levels, cleaning methodology, sample procedures, product grouping and equipment characterisation, cleaning agent selection, and components of cleaning validation.

**REFERENCES**

- [1]. Raj Pal Govind \*, Arya Rajeshwar Kamal Kant, Joshi Tanuj, Bisht Dheeraj a review on cleaning validation in pharmaceutical industry.
- [2]. Fauziya Khan, Abdul Sattar Khan and Nutan Rao, review an article on cleaning validation on pharmaceutical In industries. Available online at [www.ijrpc.com](http://www.ijrpc.com)
- [3]. Introduction and General Overview of Cleaning Validation: A Review Divya Goswami\*. Rathore K. S. and Mishra A. B. N. Institute of Pharmaceutical Sciences, Udaipur (Rajasthan) 313000
- [4]. Review on the analytical method of cleaning validation SHIVANI SHARMA1\*, SWAPNIL GOYAL2, KALINDI CHAUHAN.
- [5]. Analytical method of cleaning validation by Zahid Zaheer\* and Rana Zainuddin.
- [6]. Validation of analytical method used in cleaning validation BY HERBERT J. KAISER, PH.D. & BRUCE RITTS, M.S.=Asgharian R, Mehtarpour F, Heydari H A, Step by Step How to Do Cleaning Validation, IJPLS, 2014, 3345-3366.
- [7]. Narayan Murthy and chitra k.A a review article on cleaning validation IJPSR. 2013;4(9): 3317-27.
- [8]. Guidance 018 Equipment Cleaning Validation for Active Pharmaceutical Ingredients . <http://www.gmpsop.com>.
- [9]. Hema, Swati Reddy G. A review on new analytical method development and validation by RP-HPLC. Int Res J Pharm Biosci 2017;4:41-50.
- [10]. Archana B. Chavhan\*, Shubhangi P. Nawarkhele, Poonam H. Chaure, Pavan Jadhav Review on cleaning validation in pharmaceutical industry.