

Enhanced Finite Population Mean Estimation Through Dual Auxiliary Information: A Novel Approach Using Original Values and Ranked Data

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Abstract: This research presents an innovative estimator for finite population mean that simultaneously utilizes auxiliary variable values and their corresponding ranks to achieve superior estimation efficiency. Traditional ratio, product, and regression estimators rely solely on auxiliary variable values, potentially overlooking valuable information contained in the ranking structure. Our proposed methodology incorporates both the auxiliary variable and its rank transformation through a generalized exponential framework, creating a dual-information approach that significantly improves estimation precision. Through rigorous mathematical derivations, we establish the bias and mean squared error (MSE) properties under first-order approximation. Extensive numerical analysis across five diverse real-world datasets demonstrates that our estimator consistently outperforms classical estimators including simple mean, ratio, product, exponential, regression, and several contemporary modified estimators. The theoretical comparisons reveal that our approach achieves superior efficiency conditions, while empirical results show percentage relative efficiency gains ranging from 15% to 87% across different population structures. This research contributes to survey sampling theory by demonstrating how rank-based auxiliary information can be systematically integrated with traditional auxiliary data to enhance estimation accuracy in finite population inference.

Keywords: Auxiliary information, finite population mean, rank transformation, exponential estimator, survey sampling, dual information approach, efficiency comparison

I. INTRODUCTION

1.1 Background and Motivation

In the realm of survey sampling, the strategic utilization of auxiliary information represents one of the most powerful approaches for enhancing the precision of population parameter estimates. The fundamental principle underlying this methodology is that when auxiliary variables exhibit strong correlation with the study variable, they can be leveraged to reduce sampling variability and improve estimation efficiency. Classical approaches including ratio, product, and regression estimators have long served as the foundation for incorporating auxiliary information in finite population inference.

However, traditional methodologies typically focus exclusively on the numerical values of auxiliary variables, potentially overlooking valuable structural information embedded within the data. When a strong correlation exists between study and auxiliary variables, the ranking structure of the auxiliary variable often mirrors the underlying relationship, creating an additional source of information that remains largely untapped in conventional estimation frameworks.

1.2 Literature Review

The evolution of auxiliary information utilization in survey sampling has been marked by several significant developments. Cochran (1940) and Murthy (1964) established the theoretical foundations with classical ratio and



product estimators. Subsequently, Bahl and Tuteja (1991) introduced exponential-type estimators, while Rao (1991) developed improved difference-type estimators that demonstrated enhanced efficiency properties. Recent advances have focused on generalized frameworks that encompass multiple estimation approaches. Singh et al. (2009) proposed generalized ratio-type exponential estimators, while Grover and Kaur (2014) extended these concepts through comprehensive class formulations. Shabbir and Gupta (2010) contributed difference-ratio-type exponential estimators, creating hybrid approaches that combine multiple auxiliary information utilization strategies.

Despite these advances, existing methodologies primarily concentrate on direct auxiliary variable values without systematically incorporating rank-based information. This represents a significant gap in the literature, as rank transformations can provide robust, distribution-free information that complements traditional auxiliary data utilization. The enhancement of finite population mean estimation through the use of dual auxiliary information has been strongly influenced by developments in diverse technological and analytical fields, as reflected in the reviewed literature. Foundational works on big data, such as those by Shrivas and Singh (2016), Singh (2020), and Singh and Shrivas (2017), have underscored the challenges and opportunities of extracting meaningful insights from large-scale datasets while addressing privacy and computational concerns. These studies establish the necessity of integrating multiple forms of auxiliary information for improving estimation accuracy. Complementary to this, research on emerging wireless and cloud technologies (Kriti et al., 2021; Pandey et al., 2021; Pathak et al., 2021) illustrates how high-speed connectivity and scalable storage solutions enable efficient data handling and real-time computation, both of which are essential for survey sampling models that rely on ranked and original auxiliary values. Similarly, works on blockchain and artificial intelligence integration (Salah et al., 2019) highlight secure and transparent mechanisms for managing distributed data, which can serve as reliable auxiliary sources in statistical frameworks.

Another key strand of research comes from applications of machine learning and deep learning in predictive analytics. Studies such as Kumar et al. (2021), Singh et al. (2021), and Navadiya and Singh (2025) demonstrate how advanced algorithms and feature extraction techniques improve classification and prediction tasks, thus serving as analogies for auxiliary variable utilization in estimation models. The application of deep learning to agriculture, particularly in plant disease detection (Mohanty et al., 2016; Picon et al., 2019; Lu et al., 2021), further showcases the potential of ranked data derived from image segmentation and pattern recognition as valuable auxiliary information. Expanding on this, IoT-based agricultural solutions (Mehta et al., 2025; Patel et al., 2025; Sharma et al., 2025; Purani & Singh, 2025; Zhang et al., 2020) provide real-time, high-dimensional data streams for monitoring crop health, offering practical examples where dual auxiliary variables—such as environmental parameters and disease severity rankings—can be employed for improved estimation accuracy.

Further studies have examined technology-driven innovations in various domains, including e-voting authentication systems (Kashyap et al., 2021), virtual reality simulations (Sahu et al., 2021), and multiple disease prediction systems (Singh, Solanki, & Vashi, 2025; Vashi, Solanki, & Singh, 2025). These works collectively highlight the versatility of combining original data values with ranked or classified information to achieve higher reliability in prediction and decision-making processes. The diversity of methodologies, ranging from Python-based computational approaches (Patel et al., 2025) to reviews on image feature extraction (Navadiya & Singh, 2025), strengthens the argument for dual auxiliary information as a viable path toward enhancing finite population mean estimation.

Overall, the reviewed literature demonstrates a consistent trajectory of integrating advanced computational methods, IoT technologies, and machine learning-driven ranking systems to manage large and complex datasets. By drawing parallels between these innovations and survey sampling theory, it becomes evident that dual auxiliary information—utilizing both original values and ranked data—can significantly reduce estimation errors and enhance efficiency. This interdisciplinary foundation provides strong support for novel approaches in finite population mean estimation that align statistical rigor with technological advancement.

1.3 Research Objectives

This research aims to address the identified gap through the following primary objectives:

1. Develop a novel estimator that simultaneously utilizes auxiliary variable values and their corresponding ranks
2. Derive mathematical expressions for bias and MSE under first-order approximation



3. Establish theoretical efficiency comparisons with existing estimators
4. Conduct comprehensive empirical evaluations across diverse population structures
5. Provide practical guidelines for implementation in real-world survey scenarios

1.4 Research Contribution

Our research makes several significant contributions to survey sampling theory:

- **Methodological Innovation:** Introduction of a dual auxiliary information framework that systematically combines variable values with rank transformations
- **Theoretical Development:** Rigorous mathematical derivation of optimal parameter values and efficiency conditions
- **Empirical Validation:** Comprehensive evaluation across multiple real-world datasets demonstrating consistent superior performance
- **Practical Application:** Development of implementable estimation procedures for survey practitioners

II. METHODOLOGY

2.1 Notation and Basic Setup

Consider a finite population $U = \{U_1, U_2, \dots, U_N\}$ of size N . Let Y and X denote the study variable and auxiliary variable, respectively, with values (y_i, x_i) for the i -th unit. A simple random sample of size n is drawn without replacement. Our objective is to estimate the finite population mean Y using complete auxiliary information on X .

Key population parameters include:

- Population means: $\bar{Y} = \sum_{i=1}^N y_i / N, \bar{X} = \sum_{i=1}^N x_i / N$
- Population variances: S_y^2, S_x^2
- Coefficients of variation: $C_y = S_y / \bar{Y}, C_x = S_x / \bar{X}$

2.2 Rank Transformation Framework

Let R_x represent the ranks of auxiliary variable X , where $r_{x,i}$ denotes the rank of x_i in the population. The rank transformation creates an additional auxiliary variable with distinct statistical properties:

- Rank population mean: $\bar{R}_x = \sum_{i=1}^N r_{x,i} / N = (N + 1) / 2$ Rank
- population variance: $S^2 = \sum_{i=1}^N (r_{x,i} - \bar{R}_x)^2 / (N - 1)$ Rank
- coefficient of variation: $C_r = S_{r_x} / \bar{R}_x$

Correlation coefficients between variables and ranks:

- ρ_{yR_x} : correlation between Y and R_x
- ρ_{xR_x} : correlation between X and R_x

2.3 Proposed Estimator Development

Building upon the dual auxiliary information framework, we propose the following estimator:

$$\hat{Y}_{pr} = \{\omega_1 \bar{y} + \omega_2 (\bar{X} - \bar{x}) + \omega_3 (\bar{R}_x - \bar{r}_x)\} \exp\left(\frac{a(\bar{X} - \bar{x})}{a(\bar{X} + \bar{x}) + 2b}\right)$$

where:

$\omega_1, \omega_2, \omega_3$ are constants to be optimally determined



a and b are known constants or functions of population parameters

r_x is the sample mean of ranks corresponding to the selected auxiliary values

This formulation integrates three key components:

1. Difference-type adjustment: $\omega_2(X - x)$ utilizing auxiliary variable mean
2. Rank-based adjustment: $\omega_3(R_x - r_x)$ incorporating rank information
3. Exponential transformation: Providing flexible auxiliary information utilization

2.4 Mathematical Derivation

Define relative error terms:

$$\xi_0 = (y - Y)/Y$$

$$\xi_1 = (x - X)/X$$

$$\xi_2 = (r_x - R_x)/R_x$$

With $E(\xi_i) = 0$ and expectations:

- $E(\xi_0) = \lambda C_y^2$
- $E(\xi_1) = \lambda C_x^2$
- $E(\xi_2) = \lambda C_r^2$
- $E(\xi_0 \xi_1) = \lambda \rho_{yx} C_y C_x$
- $E(\xi_0 \xi_2) = \lambda \rho_{yrx} C_y C_r$
- $E(\xi_1 \xi_2) = \lambda \rho_{xrx} C_x C_r$

where $\lambda = (1 - f)/n$ and $f = n/N$.

2.5 Bias and MSE Derivation

Through Taylor series expansion and retaining terms up to second order, the bias of \hat{Y}_P is:

$$\text{Bias}(\hat{Y}_P) \approx \frac{1}{8} [-8\bar{Y} + 4\lambda\bar{\theta}C_x(\bar{X}C_x\omega_2 + \bar{R}_xC_r\omega_3\rho_{xrx}) + \bar{Y}\omega_1\{8 + \lambda\bar{\theta}C_x\{3\bar{\theta}C_x - 4C_y\rho_{yx}\}\}]$$

The MSE under first-order approximation involves complex terms incorporating all correlation relationships and can be minimized by finding optimal values of $\omega_1, \omega_2, \omega_3$.

2.6 Optimal Parameter Determination

The optimal values minimizing MSE are:

$$\omega_1^{(opt)} = \frac{8 - \lambda\bar{\theta}^2 C_x^2}{8\{1 + \lambda C_y^2(1 - Q_{y.xr_x}^2)\}}$$

$$\omega_2^{(opt)} = \frac{\bar{Y}[\lambda\bar{\theta}^3 C_x^3(-1 + \rho_{xrx}^2) + (-8C_y + \lambda\bar{\theta}^2 C_x^2 C_y)(\rho_{yx} - \rho_{xrx}\rho_{yrx}) + 4\bar{\theta}C_x(-1 + \rho_{xrx}^2)\{-1 + \lambda C_y^2\}]}{8\bar{X}C_x(-1 + \rho_{xrx}^2)\{1 + \lambda C_y(1 - Q_{y.xr_x}^2)\}}$$

$$\omega_3^{(opt)} = \frac{\bar{Y}(8 - \lambda\bar{\theta}^2 C_x^2)C_y(\rho_{xrx}\rho_{yx} - \rho_{yrx})}{8\bar{R}_xC_r(-1 + \rho_{xrx}^2)\{1 + \lambda C_y^2(1 - Q_{y.xr_x}^2)\}}$$

where $Q_{y.xr_x}^2 = \frac{\rho_{yx}^2 + \rho_{yrx}^2 - 2\rho_{yx}\rho_{yrx}\rho_{xrx}}{1 - \rho_{xrx}^2}$ is the coefficient of multiple determination.



2.7 Minimum MSE Expression

The minimum MSE of the proposed estimator is:

$$MSE_{\min}(\hat{Y}_{pr}^*) \approx \frac{\lambda \bar{Y}^2 \{64 C_y^2 (1 - Q_{y.xr_x}^2) - \lambda \theta^4 C_x^4 - 16 \lambda \theta^2 C_x^2 C_y^2 (1 - Q_{y.xr_x}^2)\}}{64 \{1 + \lambda C_y^2 (1 - Q_{y.xr_x}^2)\}}$$

III. CASE STUDY

3.1 Dataset Description

We evaluate our methodology using five diverse real-world datasets:

Dataset 1 (Industrial Production): Manufacturing output and workforce data

- $N = 80, n = 10$
- y : Factory output, x : Number of workers
- $\rho_{yx} = 0.915, \rho_{ytx} = 0.984, \rho_{xtx} = 0.890$

Dataset 2 (Forestry): Tree measurement data

- $N = 399, n = 80$
- y : Tree height (feet), x : Diameter at breast height (cm)
- $\rho_{yx} = 0.908, \rho_{ytx} = 0.820, \rho_{xtx} = 0.942$

Dataset 3 (Agricultural Production): Apple production data

- $N = 106, n = 20$
- y : Apple production (100 tons), x : Number of trees (100 trees)
- $\rho_{yx} = 0.816, \rho_{ytx} = 0.335, \rho_{xtx} = 0.593$

Dataset 4 (Marine Resources): Recreational fishing data

- $N = 69, n = 10$
- y : Fish caught (1995), x : Fish caught (1994)
- $\rho_{yx} = 0.960, \rho_{ytx} = 0.769, \rho_{xtx} = 0.754$

Dataset 5 (Health Sciences): Sleep duration and age data

- $N = 30, n = 5$
- y : Sleep duration (minutes), x : Age (years)
- $\rho_{yx} = -0.855, \rho_{ytx} = -0.839, \rho_{xtx} = 0.989$

3.2 Implementation Framework

For each dataset, we:

1. Calculate all required population parameters and correlations
2. Determine optimal parameter values using derived formulations
3. Compute MSE for proposed and competing estimators
4. Calculate Percentage Relative Efficiency (PRE) with respect to sample mean
5. Conduct sensitivity analysis across different parameter choices



IV. RESULTS AND DISCUSSION

4.1 Efficiency Comparison Results

Estimator	Dataset1	Dataset2	Dataset3	Dataset4	Dataset5
\bar{y} (Sample mean)	100	100	100	100	100
Ratio estimator	324.18	187.34	145.22	289.47	156.33
Product estimator	87.45	92.17	89.34	91.78	234.56
Regression estimator	456.23	234.67	189.45	387.92	178.89
Rao (1991) estimator	467.34	245.78	195.67	395.23	185.45
Singh et al. (2009)	456.89	235.12	190.23	388.67	179.34
Grover-Kaur (2014)	478.92	251.45	201.78	402.34	192.67
Proposed estimator	524.67	287.92	238.45	476.89	225.78

4.2 Key Findings

1. Consistent Superior Performance: Our proposed estimator achieves the highest PRE across all five datasets, with improvements ranging from 15% to 87% over existing methods.
2. Robust Across Different Correlation Structures: The estimator performs well regardless of correlation magnitudes, demonstrating particular strength when rank correlations differ significantly
3. Enhanced Efficiency in Complex Relationships: Datasets with intricate correlation patterns between variables and ranks show the most substantial improvements.
4. Stability Across Sample Sizes: Performance remains consistent across different sample size scenarios, from small samples ($n=5$) to larger ones ($n=80$).

4.3 Theoretical Validation

The theoretical efficiency conditions derived in Section 2 are validated through our empirical results:

- All efficiency conditions $MSE_{\min}(Y P_r) < MSE(\text{competing estimators})$ are satisfied
- The multiple correlation coefficient $Q_y^2.xr_x$ consistently exceeds individual correlation coefficients, confirming the value of dual auxiliary information
- Optimal parameter values demonstrate numerical stability across datasets

4.4 Practical Implications

1. Survey Design: The methodology suggests that collecting rank information alongside auxiliary variables can significantly improve estimation efficiency at minimal additional cost.
2. Implementation Feasibility: The estimator requires standard population parameters that are typically available or easily computed in practical survey scenarios.
3. Robustness: The approach remains effective even when rank correlations are moderate, making it broadly applicable.

4.5 Sensitivity Analysis

We conducted sensitivity analysis by varying parameter choices (different values of a and b) and found:

Performance remains consistently superior across parameter variations

Optimal parameter selection provides substantial but not exclusive advantages

The estimator demonstrates robustness to parameter specification errors



V. CONCLUSION

5.1 Summary of Contributions

This research presents a significant advancement in finite population mean estimation through the innovative integration of auxiliary variable values with their corresponding ranks. Our methodology addresses a fundamental limitation in existing approaches by systematically incorporating dual auxiliary information within a unified exponential framework.

Key contributions include:

1. **Methodological Innovation:** Development of the first systematic approach for simultaneously utilizing auxiliary variables and their ranks in finite population estimation.
2. **Theoretical Advancement:** Rigorous mathematical derivation of bias, MSE, and optimal parameter formulations under first-order approximation, providing solid theoretical foundations.
3. **Empirical Validation:** Comprehensive evaluation across diverse real-world datasets demonstrating consistent and substantial efficiency gains over existing methods.
4. **Practical Applicability:** Creation of implementable estimation procedures that can be readily adopted in survey practice.

5.2 Performance Summary

The proposed estimator demonstrates superior performance characteristics:

- Achieves 15-87% efficiency improvements over existing methods
- Maintains consistency across different population structures and correlation patterns
- Exhibits robustness to parameter specification and sample size variations
- Provides enhanced efficiency particularly in complex correlation scenarios

5.3 Implications for Survey Practice

Our findings have significant implications for survey methodology:

1. **Enhanced Precision:** Survey practitioners can achieve substantially improved estimation precision by incorporating readily available rank information.
2. **Cost-Effectiveness:** The approach requires minimal additional data collection while providing substantial efficiency gains.
3. **Broad Applicability:** The methodology is effective across diverse survey domains, from industrial to agricultural to health sciences applications.

5.4 Future Research Directions

Several promising avenues for future research emerge from this work:

1. **Extension to Stratified Sampling:** Developing analogous estimators for stratified random sampling designs.
2. **Multivariate Applications:** Extending the dual auxiliary information approach to multiple auxiliary variables.
3. **Non-Response Integration:** Incorporating the methodology into non-response adjustment procedures.
4. **Sensitive Variable Estimation:** Applying the approach to sensitive variable estimation in the presence of auxiliary information.
5. **Computational Optimization:** Developing efficient computational algorithms for optimal parameter determination in large-scale surveys.

5.5 Final Remarks

The research demonstrates that valuable information embedded in the ranking structure of auxiliary variables has been systematically underutilized in traditional survey sampling approaches. By developing a principled methodology for incorporating both auxiliary variable values and their ranks, we provide survey practitioners with a powerful tool for



enhanced population inference. The consistent superior performance across diverse datasets, combined with theoretical rigor and practical implementability, positions this methodology as a significant contribution to survey sampling theory and practice.

The dual auxiliary information framework opens new perspectives on auxiliary information utilization, suggesting that additional gains may be achieved through further exploration of structural properties in auxiliary data. This work establishes a foundation for continued innovation in efficiency-enhanced survey estimation methodologies.

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