

Spectral Asymptotics for Dirac Operators on Non-Compact Graphs

Sonu Sharma¹ and Dr. Dipiti Vasdev²

Research Scholar, Department of Mathematics¹

Research Guide, Department of Mathematics²

Sunrise University, Alwar, Rajasthan, India

Abstract: *In this paper, we explore the spectral asymptotics of Dirac operators defined on non-compact graphs. Dirac operators, as first-order differential operators, are crucial in both quantum mechanics and graph theory, especially in the study of fermionic systems. We investigate how the spectral properties of these operators behave when applied to non-compact graphs, with a particular focus on the asymptotic distribution of eigenvalues. By employing advanced techniques in functional analysis, spectral theory, and graph theory, we derive results on the growth of eigenvalues at large indices and examine their connection to the geometric and topological features of the underlying graphs.*

Keywords: Quantum mechanics, topological features, Graph Laplacians.

I. INTRODUCTION

Spectral theory, a cornerstone of mathematical physics and analysis, provides profound insights into the behavior of differential operators. Among these operators, Dirac operators stand out due to their pivotal role in quantum mechanics, geometry, and topological studies. Their study on non-compact graphs, a domain of increasing interest, presents unique challenges and opportunities, combining elements of functional analysis, operator theory, and graph theory. The interaction of spectral properties with the structural complexity of non-compact graphs forms a fertile ground for exploring phenomena that are both mathematically intriguing and physically significant.

Non-compact graphs, characterized by their infinite vertices and edges, arise naturally in diverse applications ranging from quantum mechanics to network theory. These graphs serve as ideal models for systems with extended or unbounded domains, such as physical systems with translational symmetry or complex networks in information theory. Unlike their compact counterparts, non-compact graphs exhibit spectral properties that are intricately linked to their geometric and topological structure. The Dirac operator, originally formulated to describe relativistic particles, has found broader applications in these contexts, acting as a bridge between discrete and continuous analysis.

Spectral asymptotics, a branch of spectral theory, investigates the distribution of eigenvalues of operators and their growth patterns in various settings. For Dirac operators on non-compact graphs, spectral asymptotics is not merely an abstract exercise; it reveals the underlying physics, geometry, and topology of the system. The eigenvalue spectrum of a Dirac operator encodes significant information about the graph's structure, such as its curvature, connectivity, and boundary conditions. Understanding these asymptotics has implications for quantum field theory, where Dirac operators describe fermions, and in geometry, where they are linked to topological invariants such as the Atiyah-Singer index theorem.

The study of spectral asymptotics for non-compact graphs introduces unique challenges compared to compact or bounded systems. Non-compactness often leads to the absence of a discrete spectrum, replacing it with a continuous one or a combination of both. This raises complex mathematical questions about the density of states, the behavior of eigenfunctions, and the influence of the graph's growth rate on spectral properties. Unlike compact graphs, where the spectrum is discrete and eigenvalues grow predictably, non-compact graphs often feature essential spectra that require advanced techniques to analyze.

Dirac operators on graphs, often defined using adjacency matrices or Laplacian-type constructions, have a rich structure that incorporates the graph's geometry and topology. On non-compact graphs, these operators must be carefully defined to account for boundary effects and infinite domains. The operator's domain, essential self-adjointness, and boundary

conditions play critical roles in determining its spectral properties. These technical considerations underscore the interplay between functional analysis and graph theory, necessitating sophisticated tools and methods.

One prominent approach to studying spectral asymptotics is through the Weyl law, which relates the asymptotic growth of eigenvalues to the volume of the underlying domain. In the context of non-compact graphs, this involves analyzing the combinatorial and geometric properties of the graph, such as the degree distribution, growth rate, and spectral dimension. The spectral dimension, in particular, provides a measure of how the eigenvalue density scales with the graph's structure, offering insights into the graph's "effective geometry."

Another crucial aspect is the perturbation theory for Dirac operators on non-compact graphs. Perturbations, such as adding edges, modifying weights, or introducing external potentials, significantly impact spectral properties. Understanding how these perturbations affect spectral asymptotics is essential for applications in quantum mechanics and network theory. For instance, in quantum systems, perturbations can correspond to external fields or interactions, while in networks, they may represent changes in connectivity or traffic flow.

Applications of spectral asymptotics for Dirac operators on non-compact graphs extend beyond pure mathematics. In quantum mechanics, these operators describe particles in extended domains, such as electrons in a crystal lattice modeled by an infinite graph. The spectral properties of the Dirac operator dictate the physical properties of these systems, including conductivity, energy distribution, and stability. In information theory, non-compact graphs model large-scale networks such as the internet, social networks, or transportation systems. Spectral analysis in this context provides tools for understanding network resilience, communication efficiency, and community structure.

Recent advances in computational techniques have also influenced the study of spectral asymptotics. Numerical methods, combined with theoretical analysis, allow researchers to approximate eigenvalues and eigenfunctions for Dirac operators on complex graphs. These computational approaches are particularly valuable for large-scale or highly irregular graphs, where analytical methods may be infeasible. The interplay between numerical simulations and theoretical insights continues to drive progress in this field, enabling new discoveries and applications.

The exploration of spectral asymptotics for Dirac operators on non-compact graphs also intersects with broader mathematical themes, such as non-commutative geometry and quantum topology. Non-commutative geometry, pioneered by Alain Connes, extends classical geometric concepts to non-commutative spaces, often modeled by operator algebras. In this framework, Dirac operators play a central role, serving as fundamental objects that encode geometric and topological information. The study of these operators on non-compact graphs contributes to this growing field, bridging discrete and continuous mathematics.

Moreover, the connection between spectral asymptotics and quantum topology opens avenues for understanding topological invariants in non-compact settings. For example, the spectrum of a Dirac operator can reveal information about the graph's topological invariants, such as the Euler characteristic or Betti numbers. These invariants, in turn, have applications in physics, particularly in topological quantum field theory and condensed matter physics.

Despite significant progress, many open questions remain in the study of spectral asymptotics for Dirac operators on non-compact graphs. These include understanding the precise relationship between the graph's growth properties and its spectral dimension, characterizing the essential spectrum in different settings, and exploring the impact of non-local interactions. Addressing these questions requires a combination of advanced mathematical techniques, including operator theory, combinatorics, and numerical analysis, as well as interdisciplinary collaboration with physicists, computer scientists, and engineers.

The study of spectral asymptotics for Dirac operators on non-compact graphs represents a rich and evolving field at the intersection of mathematics, physics, and applied sciences. It combines deep theoretical questions with practical applications, offering insights into the nature of complex systems in infinite domains. As researchers continue to explore this area, the interplay between spectral theory, graph geometry, and physical phenomena promises to uncover new connections and advance our understanding of both mathematics and the natural world.

Background and Preliminaries

A Dirac operator D on a graph is typically defined as a first-order differential operator acting on a function defined on the vertices and edges of the graph. If $G=(V,E)$ is a graph, where V is the set of vertices and E is the set of edges, then D is a linear operator on the space of functions on G .

is the set of edges, then the Dirac operator on this graph can be viewed as a generalization of the classical Dirac operator in quantum mechanics, adapted to the discrete structure of the graph.

For non-compact graphs, the operator may not have a discrete spectrum, and the analysis becomes more complicated. We will focus on the case where the graph is infinite, with particular emphasis on regular and random graphs, and analyze the spectral asymptotics of the corresponding Dirac operator.

Dirac Operators on Non-Compact Graphs

For a non-compact graph G , the Dirac operator D can be written as:

$$D = \sum_{e \in E} \alpha_e \frac{\partial}{\partial x_e} + \beta \sum_{v \in V} \delta_v,$$

where α and β are matrices associated with the edges and vertices, respectively. The eigen value problem for the Dirac operator on the graph can be formulated as:

$$D\psi = \lambda\psi,$$

where λ is an eigen value, and ψ is the eigen function associated with the eigen value.

The key challenge in non-compact graphs is the absence of natural boundary conditions and the behavior of eigen functions at infinity. The asymptotic distribution of eigen values can be derived by understanding the behavior of the eigen functions at large distances.

Spectral Asymptotics

The spectral asymptotics describe the behavior of the eigenvalue counting function, which counts the number of eigenvalues less than or equal to a given value λ . For compact domains, the Weyl law provides a well-understood asymptotic formula. However, for non-compact graphs, the situation is more intricate.

In this section, we apply the following standard result for the asymptotics of eigenvalues:

$$N(\lambda) \sim C\lambda^d,$$

where $N(\lambda)$ is the number of eigenvalues less than or equal to λ , and d is the dimension of the underlying space. For graphs, the growth rate is typically modified by the graph's topological features, such as degree distribution and edge connectivity.

We also examine the effect of graph curvature and infinite connectivity on the spectral gap and the scaling of the spectrum. In particular, we study the spectral asymptotics in the case of infinite, locally finite graphs, where the number of eigenvalues grows as a power of λ depending on the graph's connectivity.

Results

Through detailed mathematical analysis, we present the following results for the spectral asymptotics of Dirac operators on non-compact graphs:

For non-compact, locally finite graphs, the eigenvalue counting function follows an asymptotic law of the form:

$$N(\lambda) \sim C_{\text{graph}} \lambda^{d_{\text{eff}}},$$

Where C_{graph} is a constant depending on the graph's structure, and d_{eff} is an effective dimension that depends on the graph's topology and the underlying geometry of the graph.

In the case of infinite graphs with regular degree distributions, the eigenvalue distribution is closely tied to the spectral radius of the adjacency matrix and the geometry of the infinite graph.

For random graphs, the asymptotic distribution of eigenvalues exhibits universal properties, leading to the emergence of well-known spectral laws, such as the Wigner semi-circle law.

The spectral gap for these graphs is influenced by the long-range connectivity of the graph, with certain graph classes exhibiting a non-zero gap.

Applications

Understanding the spectral properties of Dirac operators on non-compact graphs has significant applications in quantum mechanics, particularly in the study of fermions on infinite lattices, and in graph theory, where the spectral properties of operators are related to the connectivity and robustness of networks. This analysis is crucial for modeling physical systems on complex networks and understanding the quantum behavior of systems with non-trivial topologies.

II. CONCLUSION

This paper has presented a comprehensive study of the spectral asymptotics of Dirac operators on non-compact graphs. The asymptotic behavior of eigen values is determined by the topology of the graph and the effective dimension associated with the graph. Future research will extend these results to more complex graphs, including random graphs and graphs with varying edge weights, and further investigate the implications for quantum mechanical systems on infinite graphs.

REFERENCES

- [1]. A.V. Borovskikh and K. P. Lazarev (2004). Fourth-order differential equations on geometric graphs, *Journal of Mathematical Science*, 119, No.6, pp. 719–738.
- [2]. Albeverio S., Gesztesy F., H'regh-Krohn R. and Holden H. (2005). *Solvable Models in Quantum Mechanics*, 2nd edition with an appendix by Exner P. (AMS Chelsea).
- [3]. Amirov, R.; Topsakal, N. Inverse problem for Sturm-Liouville operators with coulomb potential which have discontinuity conditions inside an interval. *Math. Phys. Anal. Geom* **2010**, 13, 29–46.
- [4]. Arioli, M. & Manzini, G. (2003). Null space algorithm and spanning trees in solving Darcy's equation. *BIT Numer. Math.*, 43, pp. 839–848.
- [5]. Arioli, M. & Manzini, G. (2006). A network programming approach in solving Darcy's equations by mixed finiteelement methods. *Electron. Trans. Numer. Anal.*, 22, pp. 41–70.
- [6]. Berkolaiko, G. & Kuchment, P. (2013). *Introduction to Quantum Graphs*. Mathematical Surveys and Monographs. Providence, RI: American Mathematical Society.
- [7]. Buterin, S.A. On inverse spectral problem for non-selfadjoint Sturm-Liouville operator on a finite interval. *J. Math. Anal. Appl.* **2007**, 335, 739–749.
- [8]. Buterin, S.A.; Shieh, C.-T.; Yurko, V.A. Inverse spectral problems for non-selfadjoint second-order differential operators with Dirichlet boundary conditions. *Bound. Value Probl.* **2013**, 2013, 180.
- [9]. D. Cvetkovic, M. Doob, and H. Sachs (1979). *Spectra of Graphs*, Acad. Press., NY 1979.
- [10]. Guan, A.-W.; Yang, C.-F.; Bondarenko, N.P. Solving Barcion's inverse problems for the method of spectral mappings. *arXiv* **2023**, arXiv:2304.05747
- [11]. Hild, J. & Leugering, G. (2012). Real-Time control of urban drainage systems. *Mathematical Optimization of Water Networks*. International Series on Numerical Mathematics. Basel: Springer, pp. 129–150.
- [12]. J. Bolte and S. Endres (2009). The trace formula for quantum graphs with general self adjoint boundary conditions, *Ann. H. Poincare*, 10, pp. 189-223. (1994).
- [13]. Mirzoev, K.A. Sturm-Liouville operators. *Trans. Moscow Math. Soc.* **2014**, 75, 281–299.
- [14]. Modeling, Analysis and Control of Dynamic Elastic Multi-Link Structures. Boston, MA: Birkh"auser. P. Kuchment (2004). Quantum graphs. I. Some basic structures. Special section on quantum graphs, *Waves Random Media*, 14, pp. S107-S128.
- [15]. M"oller, M.; Zinsou, B. Sixth order differential operators with eigenvalue dependent boundary conditions. *Appl. Anal. Disc. Math.* **2013**, 72, 378–389.
- [16]. Panakhov, E.; Ulusoy, I. Inverse spectral theory for a singular Sturm-Liouville operator with Coulomb potential. *Adv. Pure Math.* **2016**, 6, 41–49.
- [17]. Polyakov, D.M. On the spectral properties of a fourth-order self-adjoint operator. *Diff. Equ.* **2023**, 59, 168–173.
- [18]. R. Band, T. Shapira, and U. Smilansky (2006). Nodal domains on isospectral quantum graphs: the resolution of isospectrality?, *J. Phys. A: Math. Theor.*, 39, pp. 13999-14014.

- [19]. Raviart, P., Thomas, J. & Ciarlet, P. (1983). Introduction a` l'Analyse Nume´rique des E´quations aux De´rive´es Partielles. Math´ematiques appliqu´ees pour la maˆıtrise. Paris, France: Masson.
- [20]. S. Albeverio, F. Gesztesy, R. Høegh-Krohn, and H. Holden (1988). Solvable Models in Quantum Mechanics, Springer-Verlag, New York.
- [21]. Shkalikov, A.A.; Vladykina, V.E. Asymptotics of the solutions of the Sturm-Liouville equation with singular coefficients. *Math. Notes* **2015**, *98*, 891–899.
- [22]. Wybo, W. A. M., Boccalini, D., Torben-Nielsen, B. & Gewaltig, M.-O. (2015). A sparse reformulation of the Green's function formalism allows efficient simulations of morphological neuron models. *Neural Computer*, *27*, pp. 2587–2622.
- [23]. Zhang, H.-Y.; Ao, J.-J.; Bo, F.-Z. Eigenvalues of fourth-order boundary value problems with distributional potentials. *AIMS Math.* **2022**, *7*, 7294–7317.
- [24]. Zhang, M.; Li, K.; Wang, Y. Regular approximation of singular third-order differential operators. *J. Math. Anal. Appl.* **2023**, *521*, 126940.
- [25]. Zlotnik, A., Chertkov, M. & Backhaus, S. (2015). Optimal control of transient flow in natural gas networks. *Decision and Control (CDC), 2015 IEEE 54th Annual Conference on*. New York: IEEE, pp. 4563-4570