

A Multifaceted Approach to Real Time Online Proctoring with Gaze Tracking, Facial Aspect Ratio Analysis and Object Detection

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Abstract: *The proliferation of online education and examinations has necessitated the development of secure and reliable online proctoring systems to maintain academic integrity. In this research, we propose a comprehensive real-time online proctoring system that leverages gaze movement analysis, facial aspect ratio assessment, and mouth opening status detection to identify potential cheating behaviors during remote exams. Additionally, the system incorporates object detection using the You Only Look Once (YOLO) algorithm to identify prohibited items like phones and books within the examination environment. The gaze movement analysis module employs computer vision techniques, including eye tracking algorithms, implemented using Scipy, to monitor students' eye movements during the examination. By analyzing gaze patterns, the system can detect instances of prolonged off-screen viewing, flagging potential attempts to access unauthorized materials. The facial aspect ratio analysis component utilizes facial landmarks to calculate the aspect ratio of key facial features. This approach aids in detecting abnormal head movements or deviations from typical facial expressions, which may indicate dishonest behavior. Furthermore, the proctoring system incorporates mouth opening status detection, using a deep learning algorithms, to identify instances of verbal communication or whispering during the exam. In addition to gaze and facial analysis, our system integrates the YOLO object detection algorithm to identify phones and books in the examination environment. By employing a pre-trained YOLO model, the system can efficiently detect and flag any unauthorized items within the students' vicinity. This multi-faceted system contributes to enhancing the integrity and security of remote examinations in the digital education era.*

Keywords: Deep Learning, detection, online proctoring, alert, algorithm, YOLO, Python, Pycharm, Scipy, movement, eye, mouth, head

I. INTRODUCTION

Online proctoring is a technology-driven approach to remotely invigilating exams or assessments, ensuring their integrity and preventing academic dishonesty. Two critical components of this system are face and object detection, which employ advanced machine learning algorithms. Prior to the exam, the system captures multiple images of the test-taker's face to create a unique baseline. During the exam, real-time monitoring is implemented, verifying the identity of the authorized user by analyzing factors such as head position, facial features, and movement patterns. To thwart impersonation attempts using static images, anti-spoofing measures are employed. In cases of suspicious activity, alerts are generated for human proctors to review and take appropriate action. Machine learning models, trained on annotated datasets, are employed to identify objects within the test-taker's surroundings. These models use algorithms based on region-based convolutional neural networks (R-CNNs) or You Only Look Once (YOLO) approaches. During the exam, the system continuously scans the video feed for specific objects like laptops, mobile phones, or notes. If a prohibited object is detected, an alert is triggered for human proctors to assess the situation. The system's adaptive learning capabilities allow it to improve its accuracy over time and adapt to different testing environments. False positives are mitigated through additional contextual information and behavior analysis of the detected objects. By integrating face and object detection technologies,

online proctoring systems establish a robust layer of security, safeguarding the authenticity of the examination process. It is essential to complement these technological measures with other assessment methods and human proctoring for a comprehensive approach to ensuring exam integrity. Online proctoring, a cutting-edge technology-driven approach, has emerged as a game-changer in the realm of remote invigilation for exams and assessments. This innovative system leverages advanced machine learning (ML) and deep learning (DL) algorithms to ensure the integrity of assessments and prevent academic dishonesty. Two pivotal components of this system, face and object detection, play a crucial role in fortifying the security measures.

II. EXISTING SYSTEM

Ensuring that proctoring systems are fair and unbiased is a critical concern. It's important to prevent any form of discrimination based on factors like race, gender, or disability. Developing algorithms and practices that are free from bias is an ongoing challenge. As technology advances, so do cheating methods. Staying ahead of creative cheating techniques and designing systems that can effectively detect and prevent various forms of academic dishonesty is a continuous challenge. Balancing the need for exam integrity with the privacy rights of test-takers is a complex issue. Striking the right balance between monitoring and respecting individual privacy is an ongoing challenge. Ensuring that online proctoring systems are accessible to all students, including those with disabilities, is crucial. Designing interfaces and technologies that accommodate diverse needs is an open problem. Ensuring that the technological infrastructure can handle the demands of online proctoring, especially for large-scale assessments, is an ongoing challenge. This includes considerations for bandwidth, server capacity, and system scalability. Achieving high levels of accuracy in face and object detection is an ongoing challenge. Fine-tuning algorithms to reduce false positives and negatives is crucial for reliable proctoring. Striving for a seamless and user-friendly experience for both instructors and test-takers is essential. This includes intuitive interfaces, clear instructions, and effective communication throughout the assessment process. As technology evolves, proctoring systems need to adapt to new devices, operating systems, and software. This includes considerations for compatibility with emerging technologies like augmented reality (AR) and virtual reality (VR). Staying ahead of potential security breaches and cyber threats is a perpetual challenge. Ensuring that proctoring systems are robust against hacking attempts and other security risks is crucial. Adhering to various data protection and privacy regulations, such as GDPR (General Data Protection Regulation) in Europe, and navigating legal frameworks in different jurisdictions is an ongoing concern. Balancing the costs associated with implementing and maintaining proctoring systems with the benefits they provide is a critical consideration for educational institutions. Ensuring that both instructors and students are adequately trained to use proctoring systems effectively is an ongoing challenge. Providing comprehensive support and resources is crucial for successful implementation.

III. PROPOSED SYSTEM

The proposed online proctoring system will be implemented using a combination of programming languages, frameworks, and libraries tailored to the specific functionalities. Some of the key components:

1. Programming Languages:

- Python: Python will serve as the primary programming language due to its versatility, extensive library support, and compatibility with various computer vision and deep learning frameworks.

2. Libraries and Frameworks:

- OpenCV: This library will be crucial for tasks like image processing, facial landmark detection, and gaze tracking.
- Dlib: Dlib provides a robust set of tools for machine learning, including facial recognition and feature extraction.
- Scipy: Utilized for implementing eye tracking algorithms and analyzing gaze movements.
- Deep Learning Frameworks (e.g., TensorFlow, PyTorch): While a specific model may not be used, these frameworks may still be employed for algorithmic implementations, especially for mouth opening status detection.

3. Algorithmic Implementations:

- Gaze Movement Analysis: This component will involve implementing algorithms for monitoring students' eye movements during the examination. Techniques such as gaze tracking and analysis will be employed to detect instances of prolonged off-screen viewing.

- Facial Aspect Ratio Analysis: Algorithms will be developed to calculate the aspect ratio of key facial features, aiding in the detection of abnormal head movements or deviations from typical facial expressions.
- Mouth Opening Status Detection: Deep learning algorithms will be used to identify instances of verbal communication or whispering during the exam.

4. Object Detection:

- YOLO Algorithm: The You Only Look Once (YOLO) algorithm will be employed for object detection to identify prohibited items like phones and books within the examination environment.

IV. ARCHITECTURE

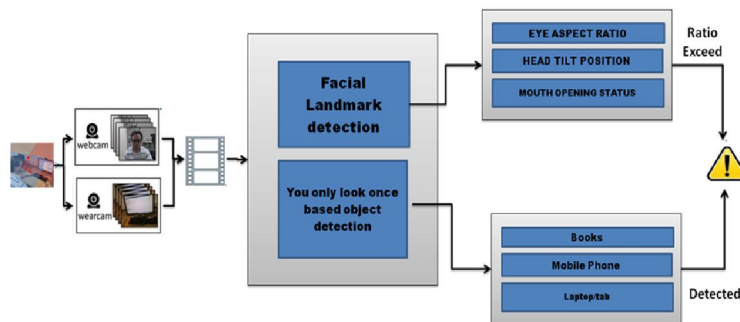


FIGURE 4.1 ARCHITECTURE DIAGRAM

The above figure 4.1 represents the overall architecture diagram, which shows that the video is captured through web camera and then divided into two segments one is for facial landmark detection based on eye aspect ratio, mouth aspect ratio and head tilt position, if the ratio exceeds it gives a alert sound and another one is by using YOLO algorithm for object detection of materials such as mobile phone, books and then alerts through a beep sound.

1. Comprehensive Proctoring System:

- A real-time online proctoring system is designed to maintain academic integrity during remote exams.

2. Gaze Movement Analysis:

- Utilizes eye tracking algorithms to monitor students' eye movements, identifying prolonged off-screen viewing indicative of unauthorized material access.

3. Facial Aspect Ratio Analysis:

- Calculates aspect ratios of facial features using facial landmarks to detect abnormal head movements or expressions associated with dishonest behavior.

4. Mouth Opening Status Detection:

- Uses deep learning algorithms to identify instances of verbal communication or whispering during exams.

5. YOLO Object Detection Integration:

- Integrates the You Only Look Once (YOLO) algorithm for efficient detection of prohibited items like phones and books in the examination environment.
- Pre-trained YOLO model identifies unauthorized items, aiding in maintaining a secure exam environment.

6. Enhanced Exam Security:

- The multi-faceted system enhances the integrity and security of remote examinations, providing valuable insights to instructors about student behavior.

V. METHODOLOGY

PYTHON - High-level, general-purpose, interpreted programming is done with Python. Python's design philosophy, which makes extensive use of whitespace, prioritises code readability. Its object-oriented methodology and language elements are designed to assist programmers in writing logical, understandable code

for both small and large-scale projects. Python offers procedural, object-oriented, and functional programming paradigms in addition to being dynamically typed.

Pycharm IDE- established to build services, open-standards, and open-source software for interactive computing in a wide range of programming languages.

Scipy-Scipy is a library used for scientific and technical computing in Python. In the context of the proposed online proctoring system, Scipy is utilized for implementing eye tracking algorithms as part of the gaze movement analysis module.

YOLO (You Only Look Once)- YOLO is an object detection algorithm used in computer vision tasks. In this research, YOLO is integrated into the proctoring system for object detection, specifically to identify prohibited items like phones and books within the examination environment.

VI. EXPERIMENTAL RESULT

The experimental result of real-time online proctoring system aims to enhance the integrity and security of remote examinations by leveraging advanced technologies. By analyzing gaze movements, facial aspect ratios, and mouth opening status, the system can identify potential cheating behaviors such as accessing unauthorized materials or verbal communication during exams. Additionally, integrating object detection using the YOLO algorithm enables efficient identification of prohibited items like phones and books within the examination environment. The experimental result of this project includes automated monitoring of students, immediate detection of suspicious behaviors, and timely intervention to maintain academic integrity, thus ensuring fair and reliable online assessments.

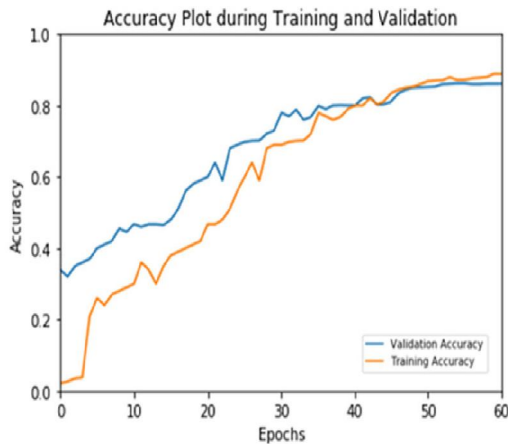


FIGURE 6.1 MODEL ACCURACY ON TRAINING AND VALIDATION DATA FOR COCO DATASET

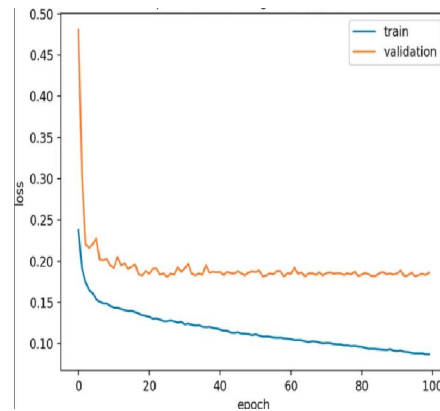


FIGURE 6.2 MODEL LOSSES ON TRAINING AND VALIDATION DATA FOR COCO DATASET

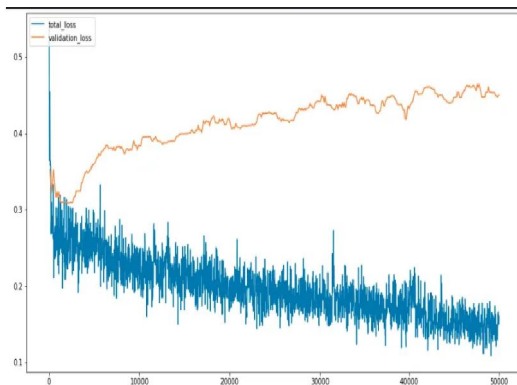


FIGURE 6.3 TOTAL LOSS AND VALIDATION LOSS FOR COCO DATASET

Dataset		VOC	COCO	D2S
# images	all	4369	163957	21000
	train	1464	118287	4380
	val	1449	5000	3600
	test	1456	40670	13020
# objects	all	-	-	72447
	train	3507	849941	6900
	val	3422	36335	15654
	test	-	-	49893
# obj/img		2.38*	7.19*	3.45
# classes		20	80	60

TABLE 1. DISTRIBUTION OF DATASET

VII. OUTPUT



FIGURE 7.1 OBJECT DETECTION

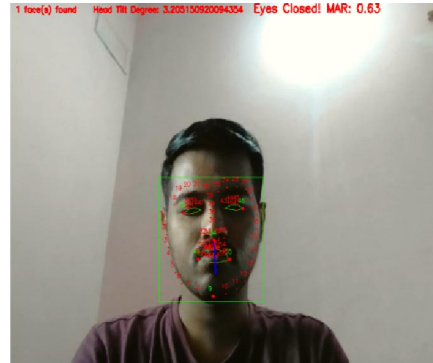


FIGURE 7.2 FACIAL LANDMARKS WITH MAR VALUE AND EYE MOVEMENT STATUS

VIII. CONCLUSION

In conclusion, our research endeavors to address the growing demand for secure and reliable online proctoring systems in the realm of digital education. The proliferation of online education and examinations necessitates innovative solutions to safeguard academic integrity. Our proposed comprehensive real-time online proctoring system integrates advanced technologies, including gaze movement analysis, facial aspect ratio assessment, mouth opening status detection, and You Only Look Once (YOLO) object detection, to identify and mitigate potential cheating behaviors during remote exams. The gaze movement analysis module employs sophisticated computer vision techniques, such as eye tracking algorithms implemented using Scipy, to monitor and analyze students' eye movements. This enables the system to detect instances of prolonged off-screen viewing, providing a mechanism to flag potential attempts at accessing unauthorized materials. Simultaneously, the facial aspect ratio analysis component utilizes facial landmarks to calculate the aspect ratio of key features, aiding in the identification of abnormal head movements or deviations from typical facial expressions indicative of dishonest behavior. Our proctoring system goes a step further by incorporating mouth opening status detection, employing deep learning algorithms. This aspect enhances the system's ability to identify instances of verbal communication or whispering during the exam, contributing to a more comprehensive assessment of potential misconduct. Furthermore, the integration of the YOLO object detection algorithm enhances the system's capability to identify prohibited items such as phones and books within the examination environment. Leveraging a pre-trained YOLO model, the system efficiently detects and flags any unauthorized items within the students' vicinity, adding an extra layer of vigilance to the proctoring process.

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